

## **GRAND STRATEGY, ANCIENT AND MODERN**

Connecticut College  
Fall 2011  
Classics 380/Government 493L  
Wednesday 1:15-4:00  
Fanning 423

Professors: Adler and Coats  
Offices: Adler, Blaustein 329; Coats, Fanning 416  
Office Hours: Adler, Monday 2-3PM & Tuesday 1-2PM; Coats, Tuesday & Thursday  
2:40-3:15, Wednesday after class.

### **FORWARD:**

This seminar is a theoretical and practical study of the comprehensive ways—diplomatic, military, economic, cultural—in which civilizations and states advance their values and interests in the world vis-à-vis other civilizations and states. Readings range from classic texts such as those of Sun Tzu and Thucydides to modern case studies and secondary literature. A major course emphasis is to encourage a “wholistic” approach to the subject matter and to engage broad questions of why and how civilizations wax and wane.

### **REQUIREMENTS:**

Final grades will be assigned on the basis of the following efforts:

Class participation: 20 percent of grade.  
Ten-page essay: 20 percent of grade.  
Final examination: 20 percent of grade.  
Fifteen- to twenty-page research paper: 40 percent of grade.

### **CLASS PARTICIPATION:**

This is a seminar class, and, as such, requires that students contribute regularly and productively to class. The majority of class time will be spent on class discussions of the readings for the week. Accordingly, it is essential that students attend class, and that they attend class fully prepared to discuss the relevant course materials. For this reason, student attendance and participation are heavily weighted in the final grade. In order to do well, students are expected to offer opinions and assessments of the course material with regularity.

### **TEN-PAGE ESSAY AND FIFTEEN- TO TWENTY-PAGE RESEARCH PAPER:**

Instructions for the ten-page essay will be handed out in class on September 28. These essays are due in class on October 12. Students will develop topics for their fifteen- to twenty-page research papers in consultation with one of the professors for the course. These papers are due at the conclusion of the final examination period (December 21). Students may choose to offer a short class presentation on their research paper; if they do so, these presentations will take place during the last



two class sessions. The professors will provide more detailed descriptions of the essay and the research paper as the course draws nearer to the deadline for them.

#### FINAL EXAMINATION:

Students will take the final examination during the final examination period (December 16-21). It will allow students the opportunity to demonstrate their understanding of the course material. The examination will pertain both to in-class discussion and to the readings for each class. The professors will provide a more detailed description of the final examination as the course draws nearer to it.

#### ACADEMIC DISHONESTY:

Academic dishonesty takes the form of cheating (using unauthorized materials for an assignment), or plagiarism (presenting the ideas/writing of others as your own). Do not do these things or you will receive a zero for your assignment, and the matter will be brought up with the appropriate Connecticut College authorities.

#### DISABILITIES:

If you have a physical or mental disability, either hidden or visible, which may require classroom, test-taking, or other reasonable modifications, please see me as soon as possible. If you have not already done so, please be sure to register with the Office of Student Disability Services. You can do so by going to the Office of Student Disability Services which is located in Crozier Williams, Room 221, or by contacting the Office at Campus Extensions 5428 or 5240, or by email to [barbara.mcllarky@conncoll.edu](mailto:barbara.mcllarky@conncoll.edu) or [lillian.liebenthal@conncoll.edu](mailto:lillian.liebenthal@conncoll.edu).

#### REQUIRED TEXTS (all of these texts are available at the College Bookstore):

Churchill, Winston S. 1985. *The Second World War, Volume 1: The Gathering Storm*. New York: Houghton Mifflin.

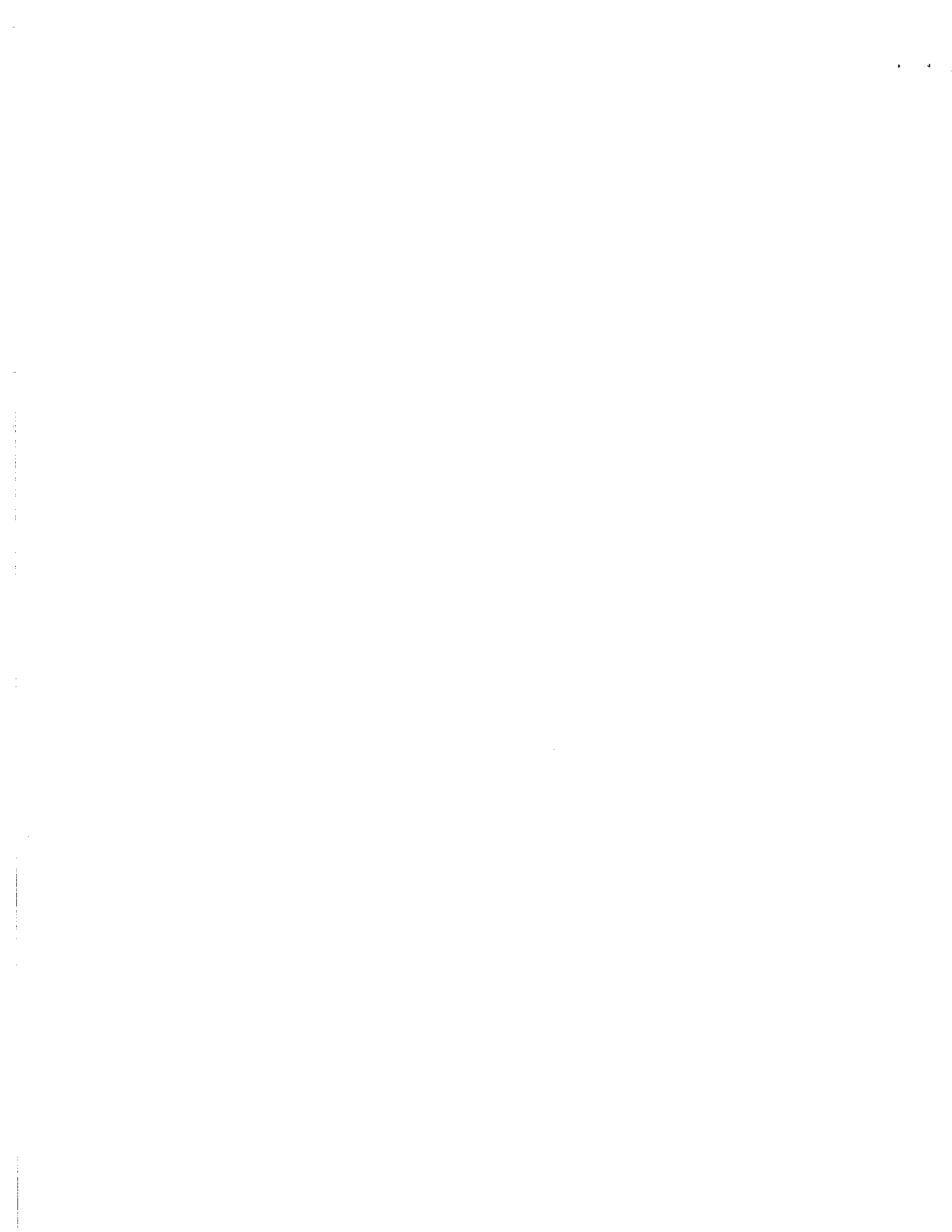
Dio Cassius. 1917. *Roman History*, vol. 6. Earnest Cary, trans. Cambridge: Harvard University Press.

Machiavelli, Niccolo. 1950. *The Prince and the Discourses*. Luigi Ricci, trans. New York: Random House.

Paret, Peter, Gordon A. Craig, and Felix Gilbert, eds. 1986. *Makers of Modern Strategy from Machiavelli to the Nuclear Age*. Princeton: Princeton University Press.

Sun Tzu. 1963. *The Art of War* (9<sup>th</sup> edition). Samuel B. Griffith, trans. Oxford: Oxford University Press.

Thucydides. 2008. *The Landmark Thucydides: A Comprehensive Guide to the Peloponnesian War* (revised edition). Richard Crawley, trans. New York: Free Press.



PACKET READINGS (all available in packet for purchase at the Collège Print Shop):

Art, Robert J. 2003. *A Grand Strategy for America*. Ithaca: Cornell University Press.

Clausewitz, Carl von. 1984. *On War*. Michael Howard and Peter Paret, trans. Princeton: Princeton University Press.

Ferguson, Niall. 2001. *The Cash Nexus: Money and Power in the Modern World, 1700-2000*. New York: Basic Books.

-----, 2008. *The Ascent of Money: A Financial History of the World*. New York: Penguin.

Ferrill, Arther. 1991. "The Grand Strategy of the Roman Empire." In *Grand Strategies of War and Peace*, edited by Paul Kennedy. New Haven and London: Yale University Press. 70-85.

Fliess, Peter J. 1966. *Thucydides and the Politics of Bipolarity*. Baton Rouge: Louisiana State University Press.

Fukuyama, Francis. 1989. "The End of History?" *The National Interest* 16 (Summer): 3-33.

-----, 2011. *The Origins of Political Order: From Prehuman Times to the French Revolution*. New York: Farrar Strauss and Giroux.

Hill, Charles. 2010. *Grand Strategies: Literature, Statecraft, and World Order*. New Haven and London: Yale University Press.

Huntington, Samuel P, 2004. *Who Are We? The Challenges to America's National Identity*. New York, London, Toronto, and Sydney: Simon & Schuster.

Kearns, Gerry. 2009. *Geopolitics and Empire: The Legacy of Halford Mackinder*. Oxford and New York: Oxford University Press.

Kennedy, Paul. 1991. "Grand Strategy in War and Peace: Toward a Broader Definition." In *Grand Strategies of War and Peace*, edited by Paul Kennedy. New Haven and London: Yale University Press. 1-6.

Lacey, Jim. 2011. *Keep from All Thoughtful Men: How U.S. Economists Won World War II*. Annapolis: Naval Institute Press.

Luttwak, Edward N. 1976. *The Grand Strategy of the Roman Empire: From the First Century A.D. to the Third*. Baltimore and London: Johns Hopkins University Press.



-----, 2009. *The Grand Strategy of the Byzantine Empire*. Cambridge and London: Harvard University Press.

MacDonald, James. 2003. *A Free Nation Deep in Debt: The Financial Roots of Democracy*. New York: Farrar, Straus, and Giroux.

Mackinder, H. J. 1904. "The Geographical Pivot of History." *The Geographical Journal* 23: 421-437.

-----, 1942. *Democratic Ideals and Reality: A Study in the Politics of Reconstruction*. New York: Henry Holt.

McDonald, Forrest. 1974. *The Presidency of George Washington*. Lawrence, Manhattan, and Wichita: University of Kansas Press.

Murray, Williamson. 2011. "Thoughts on Grand Strategy." In *The Shaping of Grand Strategy: Policy, Diplomacy, and War*, edited by Williamson Murray, Richard Hart Sinnreich, and James Lacey. Cambridge: Cambridge University Press. 1-33.

Plato. 1973. *The Collected Dialogues of Plato, Including the Letters*, edited by Edith Hamilton and Huntington Cairns. Princeton: Princeton University Press.

RESERVE READING (all available on Moodle):

Caesar, Gaius Iulius. 1946. *The Gallic War*, translated by H. J. Edwards. Cambridge: Harvard University Press.

Coats Jr., Wendell John. 2009. *Armed Force and Moderate Political Life: Essays on Politics and Defense, 1983-2008*. Lanham, MD: University Press of America.

Galula, David. 2006. *Counterinsurgency Warfare: Theory and Practice*. Westport, CT: Praeger Security International.

Gray, Colin S. 2006. *Another Bloody Century: Future Warfare*. London: Phoenix.

Kaegi, Jr., Walter Emil and Peter White, eds. 1986. *Readings in Western Civilization*, vol. 2. Chicago and London: University of Chicago Press.

Moore, J.M. (ed. and trans.) 1975. *Aristotle and Xenophon on Democracy and Oligarchy*. Berkeley and Los Angeles: University of California Press.

Plato. 1968. *The Republic*. Allan Bloom, trans. New York: Basic Books.

Plutarch. 1914. *Plutarch's Lives*, vol. 1. Bernadotte Perrin, trans. New York: Macmillan.





----- 1916. *Plutarch's Lives*, vol. 3. Bernadotte Perrin, trans. New York: G. P. Putnam's Sons.

----- 1919. *Plutarch's Lives*, vol. 7. Bernadotte Perrin, trans. New York: G. P. Putnam's Sons.

Singer, Max. 2011. *History of the Future: The Shape of the World To Come Is Visible Today*. Lanham, MD: Lexington Books.

Tacitus, Cornelius. 2009. *Agricola and Germania*. Harold Mattingly, trans. London: Penguin.

Xenophon. 1925. *Scripta minora*. E. C. Marchant, trans. New York: G. P. Putnam's Sons.

JSTOR READING (all available from the Shain Library Web site):

X [Kennan, George]. 1947. "The Sources of Soviet Conduct." *Foreign Affairs* 25.4: 566-582.

#### SCHEDULE:

Sept. 7: "Course Introduction"  
Readings: None.

Sept. 14: "What Is Grand Strategy?"  
Readings: Plato *Statesman* (pp. 1060-1085); Kennedy (pp. 1-6); Hill (pp. 1-8); Murray (pp. 1-33); Art (pp. 1-2)

Sept. 21: "Thucydides: Human Nature and Grand Strategy"  
Readings: Thucydides 1.1-23 (pp. 3-16), 5.84-116 (pp. 350-357), 6-7 (pp. 361-478); Fliess (pp. 138-168)

Sept. 28: "Aristotle, Plutarch, and Fukuyama: Political Development and Grand Strategy"  
Readings: Aristotle *Constitution of the Athenians* (pp. 147-207 in Moore); Plutarch *Life of Lycurgus* (pp. 205-303 [English side only]); Fukuyama *The Origins of Political Order* (pp. 24-48, 420-421)

TEN-PAGE ESSAYS ASSIGNED

Oct. 5: "Alexander the Great and Napoleon: Leadership, the Military, and Grand Strategy"  
Readings: Plutarch *Life of Alexander* (pp. 225-439 [English side only]); Paret in Paret (pp. 123-142); Craig in Paret (pp. 481-509)



- Oct. 12: "Sun Tzu, Plutarch, and Clausewitz: Resources and Grand Strategy"  
 Readings: Sun Tzu (introduction; chs. 1-2, 4-6, 8, 13); Plutarch *Life of Fabius Maximus* (pp. 119-197 [English side only]); Clausewitz (Book 1, ch. 1); Paret in Paret (pp. 186-213)  
 TEN-PAGE ESSAYS DUE
- Oct. 19: "Polybius and Machiavelli: Constitutions and Grand Strategy"  
 Readings: Polybius Book 6 excerpts (pp. 12-32 in Kaegi and White); Machiavelli (*Prince* chs. 3, 5-6, 8-9, 12-19, 21, 25; *Discourses* Book 1: chs. 2, 5-7, 9-12, 34-35, 37-38; Book 2: chs. 2, 29; Book 3 chs. 1, 24, 41)
- Oct. 26: "Cassius Dio and Fukuyama: Democracy and Grand Strategy"  
 Readings: Dio 52 (pp. 79-191 [English side only]); Fukuyama, "The End of History?" (pp. 3-33)
- Nov. 2: "Plato and Huntington: Education, Citizenship, and Grand Strategy"  
 Readings: Plato *The Republic* Book 3 (pp. 63-96); Huntington *Who Are We?* (pp. xv-xvii, 3-20, 59-80, 141-177)
- Nov. 9: "Tacitus and Mackinder: Geography and Grand Strategy"  
 Readings: Tacitus *Agricola*; Mackinder, "The Geographical Pivot" (pp. 421-437); Mackinder, *Democratic Ideals* (pp. 5-27); Kearns (pp. 1-14, 181-194, 213-261, 295)
- Nov. 16: "Economics and Grand Strategy"  
 Readings: Xenophon *Ways and Means* (pp. 193-231 [English side only]); part of Earle in Paret (pp. 217-242); McDonald *The Presidency of George Washington* (pp. 47-65); MacDonal (pp. 3-9, 463-473); Ferguson *The Ascent of Money* (pp. 78-97); Ferguson *The Cash Nexus* (pp. 107-117, 288-293); Lacey (pp. 1-7)
- Nov. 23: NO CLASS (Thanksgiving Break)
- Nov. 30: "Churchill and Kennan: Ideology and Grand Strategy"  
 Readings: Churchill *The Second World War* (Book 1: chs. 1-8, 13, 17); Kennan (pp. 566-582)
- Dec. 7: "Changes in Warfare and Armed Force: Nuclear Weapons, Asymmetric Warfare, and Peacekeeping"  
 Readings: Julius Caesar *Gallic War* 7 (pp. 381-511 [English side only]); Gray (pp. 370-397); Singer (pp. 71-99); Galula (pp. 1-10, 75-94) Carver in Paret (pp. 779-814); Coats (pp. 15-36)



Dec. 14: "Rome, Byzantium, and the United States: Assessing Grand Strategy"  
Readings: Luttwak *The Grand Strategy of the Roman Empire* (pp. xi-xii; 1-5, 127-130, 191-200); Ferrill (pp. 70-85) Luttwak *The Grand Strategy of the Byzantine Empire* (pp. 1-16, 409-422); Art *A Grand Strategy for America* (pp. 1-11, 45-81, 198-222)

Dec. 16-21 FINAL EXAMINATION PERIOD (RESEARCH PAPERS AND FINAL EXAMINATIONS DUE)

